



SEcure Decentralised Intelligent Data MARKetplace

D1.2 Data Management Action Plan. First version

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List of Acronyms

Abbreviation / acronym	Description
AI	Artificial Intelligence
ALTAI	Assessment List for Trustworthy Artificial Intelligence
CA	Consortium Agreement
CC	Creative Common
CC-BY	Creative Commons Attribution
Csv	Comma Separated Values
DLT	Distributed Ledger Technology
DMAP	Data Management Action Plan
DoA	Description of Action
.doc or .docx	Microsoft Word document
DOI	Digital Object Identifier
Dx.y	Deliverable number y belonging to WP x
EAB	External Advisory Board
EB	Ethics Board
EC	European Commission
EU	European Union
FAIR	Findable, Accessible, Interoperable and Re-usable
FLAC	Free Lossless Audio Codec
GA	Grant Agreement
GDPR	General Data Protection Regulation
GIS	Geographical Information System
GIT	Global Information Tracker
HE	Horizon Europe
HTTP	Hypertext Transfer Protocol
IoT	Internet of Things
IPR	Intellectual Property Rights

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Abbreviation / acronym	Description
ISO	International Organization for Standardization
JPEG	Joint Photographic Experts Group
JSON	JavaScript Object Notation
KPI	Key Performance Indicators
ML	Machine Learning
MPEG	Moving Picture Experts Group
Mx	Month number
OAI-PMH	Open Archives Initiative – Protocol for Metadata Harvesting
ONNX	Open Neural Network Exchange
PB	Project Board
PC	Project Coordinator
PDF	Portable Document Format
PNG	Portable Network Graphics
.ppt or pptx	PowerPoint Presentation
.psd	Photoshop Document
Rdf	Rich Text Format
SSN	Semantic Sensor Network
.svg	Scalable Vector Graphics
TIFF	Tag Image File Format
.txt	Text file document
UK	United Kingdom
.vsd	Visio Drawing File
WAV	Waveform audio file format
WP	Work Package
.xls orxlsx	Microsoft Excel document
.zip	“Move at high speed” (meaning)

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Executive Summary

SEDIMARK knows the importance of regulating data management issues within a context such as the one posed by the project. A solution will be considered where consortium partners will deposit all underlying information on data-related business processes (data storage, data provisioning, processing etc.) of the SEDIMARK solution clearly and transparently.

The purpose of the Data Management Action Plan (DMAP) is to identify the main data management elements that apply to the SEDIMARK project and the consortium. This document is the first version of the DMAP and will be reviewed as soon as there is a clearer understanding of the types of data that will be collected.

Given the wide range of sources from which data will be collected or become available within the project, this document outlines that the consortium partners will consider embracing and applying the Guidelines on FAIR Data Management in Horizon 2020 and Horizon Europe (HE); *“In general terms your data should be ‘FAIR’, that is Findable, Accessible, Interoperable and Re-usable”* [1], *as information about data to be collected becomes clearer”*.

Open access is defined as the practice of providing on-line access to scientific information that is free of charge to the reader and that is reusable. In the context of research and innovation, scientific information can refer to peer-reviewed scientific research articles or research data.

The SEDIMARK consortium strongly believes in the concept of open science, and in the benefits that the European innovation ecosystem and economy can draw from allowing the reuse of data at a larger scale.

Hence, as described in this report, the consortium will deposit the public data produced within or collected for the purposes of the project in an open data repository once the exploitation rights are safeguarded. It will permit the user to access, mine, exploit, reproduce and disseminate free of charge. Furthermore, it will provide information as well about tools and instruments necessary to the project results whenever possible.

As Data Security and Data Privacy are of particular concern, SEDIMARK will consider the GDPR (General Data Protection Regulation) privacy principles from conception to design to build a novel privacy-preserving architecture.

Moreover, this report addresses the ethics issues detected in SEDIMARK with the aim of:

- Providing the procedures and criteria that will be used to identify/recruit research participants when involving people (external to the project consortium) in the research activities (including dissemination) needed for the implementation of the project tasks and / or elaboration of the project deliverables.
- Creating the templates of the informed consent/assent forms and information sheets (in language and terms intelligible to the participants) that will be needed to use the information provided in the research activities or for using the personal data for dissemination activities.
- Ensuring that all AI related research conforms to the Ethical AI guidelines for Trustworthy Artificial Intelligence.

This deliverable takes as a reference the updated guidelines for Horizon Europe Programme regarding open research and innovation, data management and ethics support.

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Furthermore, this report represents a first snapshot taken at the beginning of the project (in its sixth month). It is expected that during the project duration the data management plan may include updates that will be reported after each formal project review (this is, M18, March 2024, and M36, September 2025) as part of a dedicated section for data management plan updates.

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1 Introduction

1.1 Purpose of the document

This document is the initial version of the Data Management Action Plan (DMAP) of SEDIMARK project (GA contract no 101070074). This deliverable SEDIMARK_D1.2 is under the activities of task T1.3 “Data Management” within the Description of the Action of SEDIMARK project.

SEDIMARK aims to provide an enriched secure decentralised data and services marketplace, where scattered data from various domains and geographical locations within the EU can be easily generated, cleaned, protected, discovered, enriched with metadata, Artificial Intelligence (AI) and analytics and exploited for diverse business and research scenarios. SEDIMARK aims to develop tools that support and promote the concept of FAIR data, ensuring that highly interoperable data are securely stored, discovered, accessed, and easily/securely reused in various contexts.

The European Commission (EC) provided a document with guidelines for projects participants. Those guidelines address aspects like research data quality, sharing and security. According to them, project participants will need to develop a DMAP. This DMAP describes the types of data that will be generated or gathered during the project, the standards that will be used, the ways how the data will be exploited and shared for verification or reuse, and how the data will be preserved.

This report has been produced following these guidelines and aims to provide a consolidated plan for SEDIMARK partners in the data management plan policy that the project will follow. This document is the first version delivered in March 2023, M6 of the project. Accordingly, the DMAP will be updated during the lifecycle of the project after each project review, which translates in two iterations coming in M18, March 2024, and M36, September 2025, respectively.

1.2 Relation to another project work

SEDIMARK DMAP will be written in reference to the concept called “Open access to research data” [2]. The DMAP will be important for tracking all data produced during the SEDIMARK project execution.

Regarding the nature of the data, to fulfil the required security and privacy requirements in this project, which are set by the Data Protection Directive (Directive 95/46/EC on the protection of individuals with regard to the processing of personal data and on the free movement of such data) and the General Data Protection Regulation (GDPR) [3], the project assumes the differentiation set in this Directive between Personal and No Personal data. Data are considered as personal data “*when someone is able to link the information to a person, even if the person holding the data cannot make this link*”. Any data susceptible of being considered as Personal Data will be managed according to this Directive.

1.3 Structure of the document

This document is structured in 10 major chapters.

Chapter 2 presents the methodology followed.

Chapter 3 presents a summary on data to be handled within the project execution, depicting aspects such as formats, sizes, or purpose.

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Chapter 4 recaps how the project sticks with FAIR principles.

Chapter 5 summarizes other research outputs that may be relevant.

Chapter 6 addresses the allocation of resources to proceed with data management-related activities.

Chapter 7 focuses on data security aspects.

Chapter 8 deals with ethical aspects.

Chapter 9 handles other potential issues related with this data management duties.

Chapter 10 aims to present the template to list project datasets in the upcoming project execution stages.

Chapter 11 wraps up the report with some conclusions.

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2 Methodology

Being in line with the EU's guidelines regarding the DMAP, this report aims at addressing, for each data set collected, processed and/or generated within the SEDIMARK project, the following characteristics:

- Dataset description, reference, and name.
- Methodologies for collection and processing.
- Standards and metadata.
- Whether or not the data will remain private or in open access.
- Archiving and preservation, during the project lifetime and beyond.

2.1 Data collection and processing

Data collected across the SEDIMARK project can take different forms: sensor data, surveys, software data sets, deliverables, etc. They can be grouped in three different categories:

1. Data collected from measurements/surveys and the project's use case pilot sites.
2. Software components implemented by the project's partners.
3. Report/analyses produced by the partners/consortium.

It is part of SEDIMARK's core commitments to produce open data and open-source software components. The partners of the project follow a conservative approach to evaluate the risks before sharing any data sets, by keeping data in private repositories managed by the consortium. Responsibilities will be assigned to data collectors and processors in order to ensure proper management of the access rights. Any dataset containing personal data, or a group of data allowing to trace back the person they refer to, will be categorized as sensitive and will be held confidential, i.e., with a restricted access only to the relevant project partner or the consortium. Moreover, it will be discarded once irrelevant to the project, or at the end of the project. Only non-sensitive data will be made public and live beyond the project.

2.2 Data protection

Although it is not foreseen to collect personal data along the project lifetime, the SEDIMARK partners commit to implement data protection procedures during collection, handling, and storage, following data minimisation principles: only relevant data to satisfy the project goals will be collected, and no more. Furthermore, sensitive data will not be held more than the time they are strictly required and will be properly watched over.

Moreover, any data collected during the use case operations will be anonymised, and the anonymisation procedure will be duly reported.

2.3 Open science practices for research data

SEDIMARK acknowledges the Open Science Policy of the EC and thus will establish open science practices as defined in the HE guidelines. All partners will ensure the early and open sharing of the project outcomes with sharing of reports and pre-prints in open access repositories (i.e., arXiv [4]). SEDIMARK will ensure the reproducibility of the outputs by covering the three main research processes that reproducibility is based on: reproduction, replication, and re-use.

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SEDIMARK will target Open Access Journals and Open Access Repositories for project publications, increasing visibility, usage, and impact of research, utilizing both Green Open Access (self-archiving in open repositories) and Gold Open Access (peer reviewed publications in open access journals or/and repositories) strategies. The active involvement of end users will be used to ensure that the SEDIMARK marketplace will be widely accepted and successful.

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3 Data Summary

This and the following sections are based on the EC Data Management Plan template for Horizon Europe programme [5].

This section shall provide a detailed description of the elements there considered in order to ensure their understanding by the partners of the consortium. For each element, there is also a description of the strategy that will be used to address it.

At this point in time, an estimation of the size of the data cannot be precisely given. To this end, the consortium develops a number of strategies that will be followed in order to address the above elements.

3.1 Data re-use

SEDIMARK will re-use already available data to fulfil its objectives, due to the fact that the project will ingest open-data datasets available in the four different demonstration sites where use cases will take place. The activities in which such datasets will be involved in will result in the production of processed data that may be of interest to diverse stakeholders.

3.2 Types and formats of data

SEDIMARK will do its best to employ commonly used or open file types, for instance:

- For text documents: Microsoft Word (.doc or .docx), Rich Text Format (.rtf), PDF (.pdf) or text files(.txt)
- For tabular data: Microsoft Excel (.xls or .xlsx) or Comma Separated Values (.csv).
- For images: TIFF (.tif), JPEG(.jpg) or PNG(.png).
- For audio: Free Lossless Audio Codec (FLAC) (.flac) or WAV (.wav).
- For video: MPEG-4 (.mp4).

With regard to the data minimisation principle which SEDIMARK aims to follow, compression methods are encouraged, but lossless compression should be applied where possible.

Data to be generated and used within SEDIMARK can be split in four main categories of types:

- Internal project data: this relates to data that are internal to the project consortium and are necessary for the administrative processes of the project and the normal day to day activities. This type of data might include: partner info, contact names/addresses, etc. similarly external people contact/names/etc. that participate in project activities (i.e., External Advisory Board, EAB, members) or in any user trials.
- Dissemination data: manually collected data used mostly for dissemination and can be i.e., data from surveys, or photos/videos from dissemination activities.
- Use case data: automatically collected data, i.e., from sensors in the use cases or data that are automatically computed, i.e., AI models, analytics, averages, statistics from the raw data.
- Project outputs: this category might include the project documents, reports, deliverables, software, etc.

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3.3 Purpose

The EU data economy has grown tremendously, with forecasts predicting to reach 800 Billion euros in 2025. Data are becoming the new currency, being exchanged as products or services in marketplaces. Data markets are predicted to reach a value of 100 Billion Euros in 2025. Existing data marketplaces are centralised, store the data on the cloud, provide limited to no guarantees about data quality and they are governed by single entities that make the rules. SEDIMARK gathers a large team of experts to build a secure, trusted, and intelligent decentralised data and services marketplace, based on Distributed Ledger Technology (DLT) and Artificial Intelligence. With the aim of validating the proposed solutions and increase the replication potential of the project, 4 use cases were identified to address significant scenarios. These use cases are represented by 4 complementary demo-sites ready to upgrade SEDIMARK solutions.

In light of such activities, main project datasets will be used or generated by the activities framed in the definition of project requirements and platform architecture (WP2), the techniques to assure distributed data quality and management (WP3), the plan to assure a secure data sharing within a decentralized marketplace (WP4) and their subsequent merging in one integrated solution and the corresponding demonstration campaigns (WP5). In addition, datasets will be involved in dissemination, exploitation and standardisation (WP7) and management (WP1) activities.

All these datasets are structured into information and uploaded to SEDIMARK's main storage system on OwnCloud [6]. Additionally, other storage platforms are used, depending on the nature or purpose of the data. Compilation and analysis of this information results in knowledge that is disseminated as reports, press releases or scientific articles, for instance, accessible to different stakeholders. Furthermore, and according to what is explained in project Grant Agreement (Articles 16 and 18), third parties are able to access, mine, exploit, reproduce and disseminate data and information generated or derived throughout the project, according to SEDIMARK's ethics, privacy and data protection requirements.

3.4 Expected size

At the time being, it is not possible to measure the expected size of data that will be handled by SEDIMARK, since it will heavily rely on the datasets that will be finally shared and employed in the 4 use cases and in the results offered by the corresponding processing activities performed using AI techniques.

In further iterations of the DMAP the consortium will be in the position to provide a much more precise view on data size.

3.5 Data origin

Data originally employed in the project activities will intimately relate to its 4 use cases. Hence, in the particular case of the demonstration scenario that takes place in Santander (Spain) data relates to bike tracking devices and event detection, mostly showed in smart data models of open access.

In the case of accurate energy forecasting, a combination of heterogeneous data will be processed to produce the desired results. Interoperability is of vital importance and the use case will utilize the SEDIMARK semantic models. To do so, owners of this use case collect and analyse energy-related and customer data in specific locations in Greece.

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On the other hand, the use case on valuation and commercialization of water data will heavily rely on open datasets related to GIS, weather observations or water management and distribution infrastructure, as well as anonymized water consumption information in specific parts of France.

Finally, the Mobility Digital Twin use case in Helsinki will employ data which describes the infrastructure, depicts mobility events and describes environmental and other conditions. The commitment is to use open standards, but it is worth noting that some of the data (e.g., maintenance related) may not yet have established standards for mobility domain and may be experimental.

Moreover, the existence of an open data enabler in SEDIMARK's architecture suggests certain data from external data providers will be ingested as well into the platform.

3.6 Data utility

Data resulting from SEDIMARK activities may be of interest to diverse types of data consumers, such as:

- Public authorities, who might benefit from new insights to conduct management activities (e.g., water consumption related) and risk mitigation.
- Start-ups or businesses willing to provide new services based on the processed data offered by SEDIMARK.
- Individuals or entities which need tools to conduct data quality management actions.
- Utility providers, customers, research and university audience, internal & external sales network, energy advisors in the energy ecosystem.
- Decision-makers in municipalities, diverse biker users (e.g., individuals, cycling associations), entrepreneurs on bike-rental field.

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4 FAIR data

The FAIR (Findability, Accessibility, Interoperability, and Reusability) data principles emphasise on the capacity of computational systems to find, access, interoperate, and reuse data with none or minimal human intervention because humans increasingly rely on computational support to deal with data as a result of the increase in volume, complexity, and creation speed of data [7] [8].

SEDIMARK considers these principles particularly relevant to build the basis for a solid solution. The following sections explain specifically how SEDIMARK will ensure that the diverse datasets handled within the project will comply with those FAIR data principles not only at project level but with other sister projects that extends their work in parallel.

4.1 Making data findable, including provisions for metadata

According to the general guidelines [9], to make data findable:

- F1. (Meta)data are assigned a globally unique and eternally persistent identifier.
- F2. Data are described with rich metadata.
- F3. (Meta)data are registered or indexed in a searchable resource.
- F4. Metadata specify the data identifier.

4.1.1 Data identification

SEDIMARK plans to establish whether the data produced and/or used in the project are identifiable and traceable through a standard identification mechanism (e.g., persistent and unique identifiers such as Digital Object Identifiers). This will include naming conventions and identifying search keywords.

4.1.2 Data discovery

SEDIMARK plans to establish whether the data produced and/or used in the project are discoverable with metadata.

4.1.3 Metadata creation

Metadata is 'data about data', which provide information about other data or information on the content of data, files, documents and other material.

In SEDIMARK's case, data annotation is also a part of the data curation and quality management pipeline that the project will build. So, the data generated and/or exchanged within the platform shall be properly annotated with metadata that will help describe such data and make them more findable.

What specific metadata will be created will be considered and, in the event that metadata standards do not exist, SEDIMARK will outline the type of metadata created and how it will be created.

4.1.4 Standards

The current version of the SEDIMARK DMAP does not include a compilation of all the metadata about the data being produced in SEDIMARK project, but there are already several domains considered in it which follow different rules and recommendations. What follows is a very early-stage identification of standards that may be employed along the way:

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- Microsoft Office 2010 for text-based documents (or any other compatible version). doc, .docx, .xls, .xlsx, .ppt, .pptx. Also, especially where larger datasets need to be dealt with, .csv and .txt file formats will be used. All finished and approved documents will also be made available as .pdf documents.
- Illustrations and graphic design will make use of Microsoft Visio (Format: .vsd), Photoshop (Format: different types possible, mostly .png), and will be made available as .jpg, .psd, .svg, .tiff and .ai files.
- .mp3 or .wav formats for audio files.
- Quicktime Movie or Windows Media Video for video files.

These file formats were chosen because they are wide-spread and accepted standards. When possible, files will be converted to open file formats for long-term storage purposes.

Metadata will be composed of two formats: a) contextual information about the data in a text-based document, and b) ISO 19115 standard metadata in an .xml file. These two formats for metadata are chosen to provide a full explanation of the data (text format) and to ensure compatibility with international standards (.xml format).

Data from the use cases will be annotated automatically within the SEDIMARK's data curation and quality improvement pipeline. The annotation will follow standards such as OWL [10] and RDF [11]. This is an ongoing research activity, so more details will be provided in the next version of this document.

4.1.5 Metadata discovery and indexation

Under SEDIMARK project's activities, some files may need metadata which will accompany other generated data, such as:

- Tabular data files (.csv, .xlsx) may need an additional '*notes*' or '*readme*' tab containing a brief description of the contents of the file.
- Audio, video and image files should be accompanied with a '*readme*' text file that briefly explains the context of such files (for instance, stating the date of creation, purpose of the file and its authors/creators).
- Scientific publications and other dissemination material may need metadata (e.g., acknowledging the funding received from the HE program, stating the name of the action, acronym and Grant Agreement number and authors if applicable).
- The data from the SEDIMARK use cases will also be annotated automatically. The respective metadata will be indexed and be available to be discovered by the users of the system via the SEDIMARK data discovery mechanisms. This is an ongoing research activity, so more details will be provided in the next version of the deliverable.

4.2 Making data accessible

Data can be considered as accessible when they comply with a couple of rules:

- A.1. (meta)data are retrievable by their identifier using a standardised communications protocol.
 - A1.1. The protocol is open, free, and universally implementable.
 - A1.2. The protocol allows for an authentication and authorisation procedure, where necessary.

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- A2. Metadata are accessible, even when the data are no longer available.

The data generated by the use cases will be made accessible through the SEDIMARK data marketplace, assuming that the users have proper authorization to access them. This data sharing process is the ultimate goal of SEDIMARK, so information will be provided in the respective technical deliverables.

4.2.1 Trusted Repository

SEDIMARK plans to establish a trusted repository where the consortium will store and share the data exchanged within the project execution. As for which data repository will be used and why this is a topic that will become evident once partners have a better understanding of the data to be collected. At first, SEDIMARK looks at Zenodo [12] as one potentially valid solution.

Zenodo is an EU-backed portal based on the well-established GIT version control system [13] and the Digital Object Identifier (DOI) system [14]. The portal's aims are inspired by the same principles that the EU sets for the pilot; Zenodo represents thus a very suitable and natural choice in this context. The repository services offered by Zenodo are free of charge and enable peers to share and preserve research data and other research outputs in any size and format: datasets, images, presentations, publications, and software. The digital data and the associated metadata are preserved through well-established practices such as mirroring and periodic backups. Each uploaded dataset is assigned a unique DOI rendering each submission uniquely identifiable and thus traceable and referenceable.

Thus, all collected datasets will be disseminated without an embargo period unless linked to a green open access publication. Data objects will be deposited in ZENODO under:

- Open access to data files and metadata and data files provided over standard protocols such as Hypertext Transfer Protocol (HTTP) and Open Archives Initiative – Protocol for Metadata Harvesting (OAI-PMH).
- Use and reuse of data permitted.
- Privacy of its users protected.

By default, data access policy will be unrestricted unless otherwise specified. The generic Creative Commons Attribution CC-BY licenses will be used. This license allows:

- Sharing - copy and redistribute the material in any medium or format.
- Adapting - remix, transform, and build upon the material for any purpose, even commercially.

4.2.2 Data Identification

The moment SEDIMARK partners take a decision on the trusted repository to employ and get to know their specifics and features it will be possible to confirm whether or not such repository assigns an identifier to data, how and the possibility to resolve such identifier to a digital object.

4.2.3 Data

4.2.3.1 Data availability

Most data produced and/or used in SEDIMARK will be made openly available within the project, taking into account that in certain cases data shared by partners in the consortium will be just partially available. Pilot data which are marked under the open access concept can be found in further deliverables. When external data are considered, a similar analysis must be

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conducted. For instance, data ingested through the open data enabler will be marked as open access, however in other cases this could not be possible.

Each SEDIMARK partner must respect the policies set out in this DMAP. Datasets must be created, managed and stored appropriately and be in line with applicable legislation and the agreements signed.

The Project Coordinator (PC) has a particular responsibility to ensure that all the required datasets for developing the project innovations are made available to the consortium no matter if the datasets are declared confidential (therefore not publicly available). In this event, the project will do its best to either find alternative datasets or ensure that the datasets are appropriately anonymised, and that no confidential information will be shared even between the consortium partners. To promote partners agreements that are not against the spirit of the signed Consortium Agreement (CA) is part of the project coordinator duties.

In the event that certain datasets cannot be shared or need to be shared under restrictions, appropriate explanations will be provided based on legal and contractual reasons. During subsequent versions of this document, the appropriate intellectual property protection will be described.

The proprietary partner that provides the datasets has the responsibility to manage the different versions and to make sure that the latest version is available to all the partners that require the datasets.

The partner using the collected datasets for developing is responsible to safely store and back them up during the project and delete them after the project. All the Consortium members must consult the associated partner(s) before publishing any data that can be associated with a scientific publication or exploitable result, in the open domain.

The project may generate datasets during the project activity that will be evaluated to decide their access level mainly because the publication of those datasets may interfere in the exploitation activities or when datasets include confidential information.

4.2.3.2 Embargo

As per Article 17 in the Grant Agreement partners adhere to the Open Science movement and thus metadata of deposited data will be in line with the FAIR principles and provide information about certain datasets, one of them being related to embargo.

4.2.3.3 Accessibility

Open access will be provided to research outputs like scientific papers and publications, with the publications being uploaded on arXiv.org at the time of submission or being submitted to open access journals. The general principles for handling knowledge and Intellectual Property Rights (IPR) are defined in the GA, being the ones related to accessibility specifically mentioned in its Article 16, in line with HE recommendations.

4.2.3.4 Restrictions

Restrictions on the use of data are established in the Grant Agreement, in its Articles 16, 17 and 18.

4.2.3.5 Data access committee

Due to the nature of SEDIMARK project and its use cases, there is no need to set up a data access committee. Nevertheless, the External Ethics Advisory Board that will be assembled may have a say on topics related to this aspect.

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4.2.4 Metadata

4.2.4.1 Public access

As per the Grant Agreement, Article 17, metadata of deposited publications and/or data must be open under a Creative Commons Public Domain Dedication (CC 0) or equivalent, in line with the FAIR principles (in particular machine actionable) and provide information at least about the following: publication (author(s), title, date of publication, publication venue); Horizon Europe or Euratom funding; grant project name, acronym and number; licensing terms; persistent identifiers for the publication, the authors involved in the action and, if possible, for their organisations and the grant. Where applicable, the metadata must include persistent identifiers for any research output or any other tools and instruments needed to validate the conclusions of the publication.

4.2.4.2 Availability

The digital data created by the project will be diversely curated depending on the sharing policies attached to it. For both open and non-open data, the aim is to preserve the data and make it readily available to the interested parties for the whole duration of the project and beyond. The extension of this period of availability will align to HE guidelines.

4.3 Making data interoperable

Data Interoperability is a key pillar to set the grounds for not only a data marketplace such as the one aimed by SEDIMARK but also for a common European Energy Data Space. In fact, it is necessary to establish a common framework to enable the communication not only at consortium level but with other sister projects and other cross sector data spaces initiatives SEDIMARK may interact with.

To be interoperable, there are certain guidelines to comply with:

- I1. (Meta)data use a formal, accessible, shared, and broadly applicable language for knowledge representation.
- I2. (Meta)data use vocabularies that follow FAIR principles.
- I3. (Meta)data include qualified references to other (meta)data.

4.3.1 Interoperability

The aim is to follow relevant international standards, which makes achieving interoperability possible.

For the next update of the DMAP, SEDIMARK will identify data and metadata vocabularies, standards or methodologies to be followed in order to make its data interoperable. Where possible, the consortium plans to follow standard vocabularies for all data types present in this data set, thus allowing inter-disciplinary interoperability.

4.3.2 Ontologies and vocabularies publication

Data produced within SEDIMARK will follow common formats and standards to ensure interoperability of the data produced in the data providers (i.e., OneM2M). The project has a task dedicated to work on ensuring data and metadata interoperability. Data will be in various formats, i.e., csv, json, and will follow well acknowledged vocabularies, schemas and ontologies (i.e., ONNX for ML interoperability, IoT-Lite, Semantic Sensor Network (SSN), etc.)

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to ensure the aforementioned interoperability. The resulting work will be openly published to allow reusing or extending them by people outside the consortium.

4.3.3 Mappings to commonly used ontologies

In the event it is unavoidable to use uncommon ontologies or SEDIMARK need to generate project specific ones, the appropriate mappings to the more commonly used ontologies will be provided and documented.

4.4 Increase data re-use

As aforementioned, the different datasets in SEDIMARK will be annotated according to open-source semantic data models based on existing open standards. This will foster data discovery and re-use both within the project and outside the project.

On the one hand, SEDIMARK aims to leverage the seamless interoperability framework to re-use data from the same pilot into different use-cases to develop services that otherwise could not be developed. On the other hand, SEDIMARK will enable the reuse of the datasets through the SEDIMARK marketplace, which has as a central objective the sharing of data through various parties in an easy way. As such, data generated by a data provider will be annotated properly and made available to be consumed by multiple parties and reused further. Additionally, data will be reused into building Machine Learning models for various scenarios and the models will also be annotated and made available to be shared within the SEDIMARK marketplace.

There are certain conditions to consider data reusable:

- R1. Meta (data) has a plurality of accurate and relevant attributes.
 - R1.1. (meta)data are released with a clear and accessible data usage license.
 - R1.2. (meta)data are associated with their provenance.
 - R1.3. (meta)data meet domain-relevant community standards.

4.4.1 Data analysis validation

When needed, data produced in SEDIMARK will be provided alongside “readme” files that facilitate data re-use in the long term. These documents will include information on methodology followed, analyses conducted, definitions of variables employed or units of measurement.

4.4.2 Free of charge data

The strategies sketched out for SEDIMARK and its 4 use cases imply data curated and produced will be made freely available in the public domain and hence permit the widest re-use possible during the project execution and beyond. This policy does not apply to those datasets that may fall under the scope of the monetisation activities that are part of the research activities of the project, where a retribution on selling data will be asked for.

4.4.3 License

Data re-use policies will be outlined, discussed and decided as the project moves forward and presented in greater detail at the next revision. Concerning licenses related to the dataset(s), in the event a certain sample is not openly available, the project will consider Attribution-Non-Commercial 4.0 International (CC BY-NC 4.0) [15] license when it comes to increasing the

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possibility of re-use. Once again, this will be carefully addressed in the event certain datasets are available for purchase.

4.4.4 Third Parties usability

As per Grant Agreement Article 16, data produced in the project will be usable by third parties, not only during the project execution timeframe but also after SEDIMARK comes to an end.

4.4.5 Standards

Data generated in SEDIMARK will have clear license and provenance, being thoroughly document using the standards considered as most appropriate at the time of the project execution.

4.4.6 Data quality assurance

The Data Quality Assurance Process is the responsibility of the whole consortium and will be done according to the guidelines specified in this report.

This process will be supervised by the Project Board (PB) and will consist of the following steps:

- Establishment of the way in which the collection and processing of data is managed.
This should be done by distinguishing the different types of data managed in the project: data collected or generated in the different use cases, data reused from other EU project initiatives or data from project communication and dissemination activities.
- Definition of the responsibilities of each of the roles involved in the process.
- Determination of the quality parameters according to the six main dimensions that are commonly used to mark the quality of the same: accuracy, completeness, consistency, validity, uniqueness, and timeliness.
- Implementation of the set of technical tools and Key Performance Indicators (KPIs) that will be used to measure and ensure the quality of the data. The type of tools to be employed will be defined throughout the project according to its needs.

All in all, data quality will be highly improved within SEDIMARK thanks to its AI-based data quality improvement tools, removing unnecessary data, reducing the communication exchanges between data provider and consumer (resulting in smaller dataset sizes since only necessary data will be exchanged), leading to less energy consumption. This means ratio of errors to data will be minimized, data completeness will be maximised, and validity of data improved.

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5 Other research outputs

The non-open research data will be archived and stored long-term in the ownCloud repository administered by ATOS. This ATOS platform is currently being employed to coordinate the project's activities and to store all the digital material connected to SEDIMARK. If certain datasets cannot be shared (or need restrictions), legal and contractual reasons will be explained.

Moreover, the software modules that will be implemented in the SEDIMARK project will be stored within the GitHub Organization that has been created at <https://github.com/Sedimark>. During the development phase the respective software projects will be kept private, but SEDIMARK is following Open Science practices and source code will be opened as soon as stable releases of the modules are ready.

Finally, AI models that will be developed during the project will also be made available through a dedicated model registry such as Neptune.ai [16].

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6 Allocation of resources

6.1 Costs

ATOS, as project coordinator, allocated a part of the overall WP1 budget and person months to these activities related to making data or other research outputs FAIR in SEDIMARK. This means, direct and indirect costs in this context will relate to storage, archiving, re-use, security, etc. All partners in the consortium have resources allocated for participating in Task 1.3 “Data management”.

Costs related to open access to research data are eligible as part of the Horizon Europe grant (if compliant with the Grant Agreement conditions).

6.2 Data management responsible

Data management in SEDIMARK will be done as part of T1.3 “Data Management” within WP1 “Project Management” and ATOS, as project coordinator, will be responsible for data management in SEDIMARK project.

Hence, and for the time being, the project coordinator is responsible for FAIR data management within SEDIMARK.

6.3 Data preservation

Resources for long term preservation, associated costs and potential value, as well as how data will be kept beyond the project and for how long, will be discussed by the SEDIMARK consortium during upcoming General Assembly meetings.

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7 Data security

The achievement of data governance and privacy in SEDIMARK will come through (1) guaranteeing the right to control the data at any time for data owners, (2) monitoring and controlling the access to the data and (3) preventing unauthorized data access.

7.1 Provisions

The coordinator, acting as responsible for data management, along with all partners in the consortium, will work to ensure Data Security throughout the life of the SEDIMARK project and for a certain period thereafter.

This group will monitor activities or results that may cause security issues; it will manage those issues and ensure that provisions are in place for data security throughout the life of the project. This will include both the internal file repository and pilot data of the project. The requirements for role-based access will be defined and implemented to protect from unauthorized access, use, modification, and destruction of the data. Redundant data storage will be included to secure data recovery. Regarding pilot data, they are not yet defined and this will be reviewed in the upcoming iterations of the DMAP.

7.2 Storage

For the duration of the project, datasets will be stored on the responsible partner's storage system. Every partner is responsible to ensure that the data are stored safely and securely and in full compliance with European Union (EU) data protection laws. After the completion of the project, all the responsibilities concerning data recovery and secure storage will go to the repository storing the dataset.

All data files will be transferred via secure connections and in encrypted and password-protected form. As an example, the use of the open source 7-zip tool [17] providing full AES-256 encryption will be promoted. Moreover, the encryption options implemented in MS Windows or MS Excel may be used as well. Associated passwords will not be exchanged via e-mail but in personal communication between the partners involved.

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8 Ethics

This section deals with ethical and legal compliance issues, like the consent for data preservation and sharing, protection of the identity of individuals and companies and how sensitive data will be handled to ensure that they are stored and transferred securely.

Given the fact that SEDIMARK receives funding under the Horizon Europe programme, the project will comply with ethical principles and relevant Union, national and international legislation, including the Charter of Fundamental Rights of the European Union and the European Convention on Human Rights and its Supplementary Protocols.

In addition to these guidelines, interactions with research participants and the subsequent data collection will be compliant with “The EU General Data Protection Regulation (GDPR)” [3].

8.1 Possible ethical issues

Data protection and good research ethics are major topics for the consortium of this project. Good research ethics meet all actions to take great care and prevent any situation where sensitive information could get misused. This is what the consortium wants to guarantee for this project. Research data which contains personal data will just be disseminated for the purpose for which it was specified by the consortium. Furthermore, all processes of data generation and data sharing must be documented and approved by the SEDIMARK consortium to guarantee the highest standards of data protection.

SEDIMARK partners must comply with the ethical principles as set out in Article 34 of the Grant Agreement, which states that all activities must be carried out in compliance with:

- Ethical principles (including the highest standards of research integrity as set out, for instance, in the European Code of Conduct for Research Integrity and including, in particular, avoiding fabrication, falsification, plagiarism or other research misconduct).
- Applicable international, EU and national law (in particular, EU Directive 95/46/EC).

In case citizens will be involved in research and innovation activities in the project pilot sites, compliance will not only be sought with the above-mentioned documents, but also with the relevant local and national regulations and ethical guidelines.

No ethical issues are envisaged so far, nevertheless this subject will be monitored on a regular basis during the project’s life cycle as the data set develops and grows.

An External Ethics Board (EB) will be appointed by the project coordination team and approved by the project board (PB). This EB will ensure compliance with relevant and ethical roles throughout the different stages of SEDIMARK implementation and will assist in the elaboration of the reports associated to WP7, dealing with Ethics topics.

8.2 Consent for data sharing and long-term preservation

Data collection, storage, protection, retention and destruction will be carried out through the intranet system of the SEDIMARK project. Interviewees/beneficiaries/recipients will be informed about data security, anonymity and use of data as well as asked for accordance. Participation happens on a voluntary basis and via the completion of informed consents prepared accordingly.

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8.2.1 Informed consent

Most social science research endeavours are such that human participation requires evidence of the voluntary, free, and informed consent of those who contribute their time, insights, effort and data for the use of researchers. Informed consent, whether in writing (as is most usual) or given orally, is thus the default option. Obtaining informed consent, however, does not in itself guarantee ethical research. In some research settings, this very act and the aim of safeguarding participants' rights and well-being in the research setting may place them at risk of harm in their social context (rather than affording them protection).

When preparing information sheets and consent forms, SEDIMARK consortium will follow a checklist based in common Guidelines for Ethics:

- Give participants a clear explanation of the aims, overall purpose, methods and implications of the research.
- Explain that participation is voluntary.
- Remind participants that they have a right to withdraw their consent at any time without any consequences.
- Explain the degree of benefit, risks, burden or discomfort involved in participation. Give an estimate of the time and effort expected of participants.
- Explain precautions to ensure participants' safety and provide information on insurance if there is any.
- Explain who is funding the research and for what purpose.
- Disclose who will benefit from the research.
- Give a firm commitment to protecting respondents' anonymity and privacy (provided that this can genuinely be guaranteed).
- Make a clear commitment to treating personal and sensitive information confidentially.
- Reassure participants that there are secure procedures for analysing any data gathered.
- Explain clearly who will have access to the data provided by participants. Consider any unintended/unexpected/incidental findings and explain how you intend to deal with such findings.
- Explain briefly where research findings will be published.
- Offer to provide respondents with further information about research if they ask for it.
- Give the name and contact details of the contact person who can answer any queries participants may have.
- Clarify possible uses to which data may be put in future (if this is envisaged) and clarify whether participants will be asked for consent again if this is the case. Cover any issues relating to copyright of data and other materials used in the research.

SEDIMARK will create a consent form to be used across the pilot demonstration sites that safeguard citizens and users participating in SEDIMARK activities.

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8.3 Ethics and AI

The European Commission has established a High-Level Expert Group on AI which in 2019 published a set of guidelines for Trustworthy Artificial Intelligence [18]. The main goal for such guidelines was to promote Trustworthy AI and build a framework for the research in EU projects to achieve trustworthiness. The guidelines were built on the concept that AI systems should be human-centric, serving the humanity and improving human welfare and freedom. Thus, AI systems should be built in a way to prevent and minimise risks. According to the guidelines, Trustworthy AI has three main components: (i) to be lawful, (ii) to be ethical and (iii) to be robust.

SEDIMARK aims to use machine learning models to improve the intelligence of the data sharing system. ML components will be used mainly on the data curation and quality improvement pipeline, as well as on providing recommendations for datasets/services to the users of the system. To ensure that SEDIMARK's components abide by the AI guidelines, SEDIMARK aims to use the Assessment List for Trustworthy Artificial Intelligence (ALTAI) for its components. The goal is to perform a self-evaluation on the components to ensure they are ethical and trustworthy. ALTAI identifies 4 ethical principles and 7 requirements that AI research should follow to achieve trustworthiness.

The 7 requirements are:

- Human Agency and Oversight,
- Technical Robustness and Safety,
- Privacy and Data Governance,
- Transparency,
- Diversity, Non-discrimination and Fairness,
- Societal and Environmental Well-being,
- Accountability.

SEDIMARK is preparing a more detailed version of the assessment list requirements and how the project partners should address them and will be included in the next version of this deliverable.

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9 Other issues

SEDIMARK consortium will adhere to national legislations and adapt accordingly in discussions with each individual partner. It is the responsibility of each partner to identify and follow national legislation taking action where and when required.

SEDIMARK will be run in both the European Union (EU) and the United Kingdom (UK). The entire storage is in the European continent region; therefore, SEDIMARK will be keeping all its data in countries with “adequate protection”. Users within both spaces will be served by servers located in the EU, and the data collected from these users will also be stored in EU-based servers. Moreover, EU-based subjects will not have any means to access any other servers outside their continent, hence providing safe means to capulate EU data in the continent.

9.1 Procedures and criteria used for Dissemination Activities

Participation of people in SEDIMARK **dissemination activities** is related with all the promotion and dissemination means used in the project. SIEMENS is the leader of the WP6 – “Dissemination, exploitation, standardisation” and therefore they will be responsible for implementing the procedures to comply with the ethical requirements.

9.1.1 Public Website

In correspondence with the project commitments, a dedicated project website has been launched. The project website will be openly available to all internet users worldwide. In line with the GDPR, the website also hosts a **legal notice**, a **cookie policy** and a **credits page**.

9.1.2 Distribution of promotional material: leaflet, newsletter, video, booklet

9.1.2.1 Newsletter

A dedicated SEDIMARK newsletter template will be developed in line with the established visual identity.

It is possible to subscribe to the SEDIMARK newsletter directly from the project website.

As an alternative, another way to subscribe to the SEDIMARK newsletter is to provide the email address by signing a dedicated form (“Newsletter Consent” template) that the partners of the project can bring with them when they attend events, conferences and fairs where they have the chance to present the SEDIMARK project. Interested attendees can sign the form and give the consent to be informed and updated about the project receiving the newsletter issues. The subscriber, after completing the form, will receive a confirmation email to fully accept the subscription to the SEDIMARK newsletter.

The newsletter offers to the users the possibility to unsubscribe the service; in this case, the user by clicking the unsubscribe button included in the newsletter template, will have the chance to withdraw his/her consent to receive the subsequent issues.

9.1.2.2 Leaflet, Video and Booklet

Important outcomes from SEDIMARK will include the project’s leaflet(s), video and booklet, as they will be key educational tools for the consortium to actively disseminate. The project leaflet, video and booklet will all be displayed on the project website and advertised through social media, events and conferences etc. All dissemination of the leaflet, video and booklet will be done in accordance with the GDPR and other relevant rules and regulations mentioned throughout this deliverable.

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9.1.3 Social Media Campaign

SEDIMARK will utilize social media as an integral part of the project's communication and dissemination activities, in particular Twitter and LinkedIn accounts. The primary step was the founding of a hashtag (#), which will be used on both social media platforms (“#SEDIMARK”). UC, as Task 6.1 “Dissemination and Impact creation” leader, will advise consortium members on best practices for social media. Such best practices include proper and ethical use of human data always under accordance with the GDPR rules. SEDIMARK will not ask for or record personal information through social media.

9.1.4 Events and Workshops

SEDIMARK communication strategy is also based on the participation of the members of the consortium to events, which can be organised internally (project consortium meetings, workshops), but also to external social events, such as specialised international data spaces conferences and fairs, seminars, and clustering events to network with other EU-funded projects. It may happen that, during these events (organised by consortium members or external stakeholders), photographs or videos might be taken for communication purposes on the project website or realisation of posts on project social media. In this framework, SEDIMARK consortium members apply GDPR strict rules for processing personal data (including images) based on consent. Consent of the data subject means any freely given, specific, informed, and unambiguous indication of the data subject's wishes by which he or she, by a statement or by a clear affirmative action, signifies agreement to the processing of personal data relating to him or her (GDPR definitions,11). When someone consents to process his/her personal data, SEDIMARK consortium will process only the data for the purpose for which consent has been given. Moreover, the consortium is aware that the opportunity of consent withdrawal is always given and applicable.

SEDIMARK will prepare a dedicated form to collect consent to take pictures, videos, and audio for communication purposes during dedicated events that the project consortium may organize.

9.2 Procedures and Criteria used for Research Activities

Participation of people in SEDIMARK research activities is mainly related to stakeholders' participation in the surveys and interviews that are planned to be conducted in different tasks of the project. All these activities will consider all the GDPR, and Ethics aspects explained in the previous sections.

9.3 Procedures against data losses

In the next years, GDPR laws regarding data usage will become even stricter. This means SEDIMARK partners must be ready to delete all data from customers from all storages the moment they require the consortium performing a complete deletion of their data.

Moreover, partners in the consortium must make sure their systems (e.g., algorithms, AI models) are robust enough and can deal with data losses produced by such data deletion processes.

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10 Project Datasets

This section includes an example on the dataset summary table (see Table 1) that partners in the consortium will be encouraged to employ in future stages whenever is required, for the sake of clarity, taking into account a significant number of datasets are expected to be employed by SEDIMARK to perform their activities.

It is worth noting that SEDIMARK does not have as a goal to act as a data storage platform but as a intermediary that makes visible data coming from providers, making them easier to find and discover and event manage how others consume them.

In further iterations of this DMAP, information collected from Work Package (WP) and/or Task leaders will be provided following this template.

10.1 How to describe a SEDIMARK Dataset

Table 1: Dataset N description (example)

DATASET N	Dataset name: Please provide a meaningful name so that we can refer to it unambiguously in the future.
	WP/Task: If relevant, provide the name of the WP and task/s for which this dataset will be used.
	Partner: Short name of the partner responsible for the management of this dataset.
1. Data Summary	
Purpose	What is the purpose of the data generation or re-use and its relation to the objectives of the project? Enter text here.
Types and formats of data	What types and formats of data will the project generate or re-use? Enter text here.
Re-use of existing data	Will you re-use any existing data and what will you re-use it for? State the reasons if re-use of any existing data has been considered but discarded. Enter text here.
Origin	What is the origin/provenance of the data, either generated or re-used? Enter text here.
Expected size	What is the expected size of the data that you intend to generate or re-use? Enter text here.
Utility	To whom might your data be useful ('data utility'), outside your project? Enter text here.

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2. FAIR data

2.1 Making data findable, including provisions for metadata

Data identification	Will data be identified by a persistent identifier? If not, by what means the data will be identified? Enter text here.
Data discovery – Rich metadata	Will rich metadata be provided to allow discovery? Enter text here.
Keywords	Will search keywords be provided in the metadata to optimize the possibility for discovery and then potential re-use? Enter text here.
Standards	What disciplinary or general standards will be followed? In case metadata standards do not exist in your discipline, please outline what type of metadata will be created and how. Enter text here.
Metadata creation	What metadata will be created? Enter text here.
Metadata indexation	Will metadata be offered in such a way that it can be harvested and indexed? Enter text here.

2.2 Making data accessible

Repository

Trusted repository	Will the data be deposited in a trusted repository? Have you explored appropriate arrangements with the identified repository where your data will be deposited? Enter text here.
Data identification	Does the repository ensure that the data is assigned an identifier? Will the repository resolve the identifier to a digital object? Enter text here.

Data

Availability	Will all data be made openly available? If certain datasets cannot be shared (or need to be shared under restricted access conditions), explain why, clearly separating legal and contractual reasons from intentional restrictions. Note that in multi-beneficiary projects it is also possible for specific beneficiaries to keep their data closed if opening their data goes against their legitimate interests or other constraints as per the Grant Agreement. Enter text here.
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Embargo	<p>If an embargo is applied to give time to publish or seek protection of the intellectual property (e.g., patents), specify why and how long this will apply, bearing in mind that research data should be made available as soon as possible.</p> <p>Enter text here.</p>
Accessibility	<p>Will the data be accessible through a free and standardized access protocol?</p> <p>Enter text here.</p>
Restrictions	<p>If there are restrictions on use, how will access be provided to the data, both during and after the end of the project?</p> <p>Enter text here.</p>
Identity assertion	<p>How will the identity of the person accessing the data be ascertained?</p> <p>Enter text here.</p>
Data access committee	<p>Is there a need for a data access committee (e.g., to evaluate/approve access requests to personal/sensitive data)?</p> <p>Enter text here.</p>
Metadata	
Public access	<p>Will metadata be made openly available and licenced under a public domain dedication CC0, as per the Grant Agreement? If not, please clarify why.</p> <p>Enter text here.</p>
Data Access	<p>Will metadata contain information to enable the user to access the data?</p> <p>Enter text here.</p>
Availability	<p>How long will the data remain available and findable? Will metadata be guaranteed to remain available after data is no longer available?</p> <p>Enter text here.</p>
Reference material	<p>Will documentation or reference about any software be needed to access or read the data be included? Will it be possible to include the relevant software (e.g., in open-source code)?</p> <p>Enter text here.</p>
2.3 Making data interoperable	
Interoperability	<p>What data and metadata vocabularies, standards, formats or methodologies will you follow to make your data interoperable to allow data exchange and re-use within and across disciplines?</p> <p>Enter text here.</p>
Interoperability practices	<p>best</p> <p>Will you follow community-endorsed interoperability best practices? Which ones?</p> <p>Enter text here.</p>

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Ontologies and vocabularies publication	Will you openly publish the generated ontologies or vocabularies to allow reusing, refining or extending them? Enter text here.
Mappings to commonly used ontologies	In case it is unavoidable that you use uncommon or generate project specific ontologies or vocabularies, will you provide mappings to more commonly used ontologies? Enter text here.
Qualified references	Will your data include qualified references to other data (e.g. other data from your project, or datasets from previous research)? Enter text here.

2.4 Increase data re-use

Data analysis validation	How will you provide documentation needed to validate data analysis and facilitate data re-use (e.g. readme files with information on methodology, codebooks, data cleaning, analyses, variable definitions, units of measurement, etc.)? Enter text here.
Free of charge data	Will your data be made freely available in the public domain to permit the widest re-use possible? Enter text here.
License	Will your data be licensed using standard reuse licenses, in line with the obligations set out in the Grant Agreement? Enter text here.
Third Parties usability	Will the data produced in the project be useable by third parties, in particular after the end of the project? Enter text here.
Standards	Will the provenance of the data be thoroughly documented using the appropriate standards? Enter text here.
Data quality assurance	Describe all relevant data quality assurance processes. Enter text here.

3. Data security

Provisions	What provisions are or will be in place for data security (including data recovery as well as secure storage/archiving and transfer of sensitive data)? Enter text here.
Storage	Will the data be safely stored in trusted repositories for long term preservation and curation? Enter text here.

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4. Ethics

Possible ethical or legal issues	<p>Are there, or could there be, any ethics or legal issues that can have an impact on data sharing? These can also be discussed in the context of the ethics review. If relevant, include references to ethics deliverables and ethics chapter in the Description of the Action (DoA).</p> <p>Enter text here.</p>
Consent for data sharing and long-term preservation	<p>Will informed consent for data sharing and long-term preservation be included in questionnaires dealing with personal data?</p> <p>Enter text here.</p>

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11 Conclusions

Good data management is a tool for supporting innovation and knowledge discovery. Equally important, it is a tool for knowledge integration and re-use.

The project recognises the importance of regulating data management issues and this document, the tools, and the procedures here described will evolve and be updated as SEDIMARK continues and faces new challenges, specially once the pilot sites demonstrations start to be active.

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